

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:
RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The original *Employee Pre-Travel Authorization* (Form RE-1), **AND**
☒ A copy of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): The Aspen Institute, Inc. (Congressional Program) & Carnegie Endowment for International Peace

Travel date(s): November 2, 2019-November 8, 2019

Name of accompanying family member (if any): _____

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount	\$1600	\$1000	\$525	\$290 conference expenses include meeting space, audio visual services and visa costs


Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): See attached agenda

11/25/19
(Date)

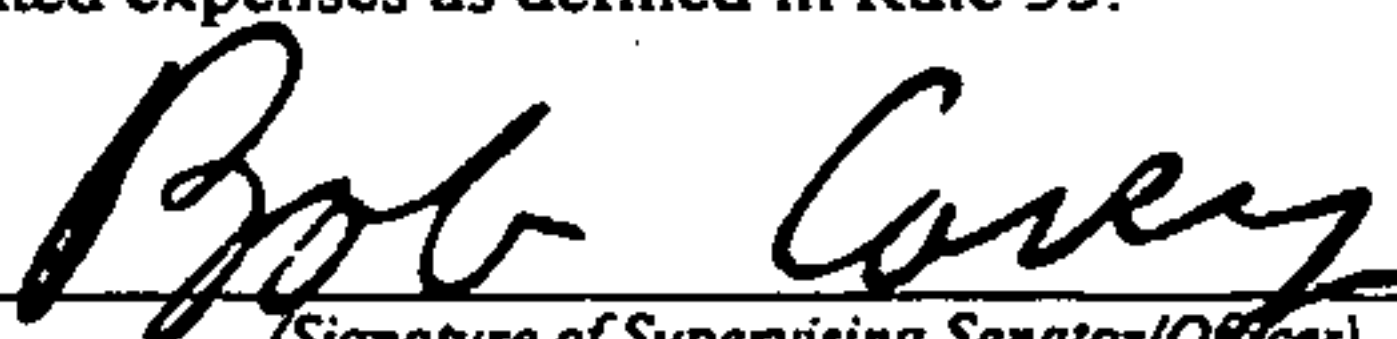
Livia Shmarovian
(Printed name of traveler)


(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

11/25/2019
(Date)


(Signature of Supervising Senator/Officer)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors):
The Aspen Institute, Inc. (Congressional Program) & Carnegie Endowment for International Peace
2. Description of the trip: The conference will provide the opportunity for congressional staff members to engage directly with Chinese and Americans based in China to explore US-China policy
3. Dates of travel: November 2-8, 2019
4. Place of travel: Beijing, China
5. Name and title of Senate invitees: See question #5 of attached agenda
6. I *certify* that the trip fits one of the following categories:
☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
=OR=
☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
=AND=
☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
=AND=
☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

In addition to the education trips for members of Congress, AICP annually offers 24 breakfasts for members of Congress; five lunches for congressional staff each year - each event offering a leading scholar on a key public policy issue. See attached appendix

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$1600	\$1000	\$525	\$290 Conference expenses include meeting space, audio visual services and visa costs

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The conference is organized specifically with regard to congressional participants.

18. Reason for selecting the location of the event or trip

Beijing provides the opportunity to engage with Chinese and Americans residing in China to explore US policy options regarding China.

19. Name and location of hotel or other lodging facility:

Sofitel Beijing Central

20. Reason(s) for selecting hotel or other lodging facility:

The hotel provides the necessary security, technology and facilities including private meeting space and dining space.

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
SIGNATURE PAGE FOR ADDITIONAL SPONSOR
(to be completed by each additional sponsor).

I hereby *certify* that the information contained on pages 1-4 of the certification form and any accompanying addenda, all submitted in connection with the November 2-8, 2019 trip
to Beijing, China is true, complete, and correct.
Dates of Travel (Month Day, Year)
Place of Travel

Signature of Travel Sponsor: Elizabeth Dibble
Name and Title: Elizabeth Dibble, Chief Operating Officer
Name of Organization: Carnegie Endowment for International Peace
Address: 1779 Massachusetts Avenue, NW, Washington, DC 20036-2103
Telephone Number: 202 939 2223
Fax Number: N/A
E-mail Address: edibble@ceip.org

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THE ASPEN INSTITUTE
CONGRESSIONAL PROGRAM



CARNEGIE
ENDOWMENT FOR
INTERNATIONAL PEACE

**Livia Shmavonian
Legislative Assistant
Office of Senator Bob Casey
393 Russell Senate Office Building
Washington, DC 20510**

June 17, 2019

Dear Livia:

We are pleased to invite you to participate in congressional staff initiative, *U.S.-China Relations: A New Era*, hosted by the Aspen Institute Congressional Program in collaboration with the Carnegie Endowment for International Peace. The initiative features two lunch briefings, on July 15th and September 17th, an evening event in late October with prominent foreign policy experts, and concludes with a conference in **Beijing, China, November 2-8, 2019** (including travel). Please see the following documents for the conference agenda and an informational one-pager.

This invitation is non-transferrable – invitees have been hand-selected from a pool of qualified, experienced staff with the intent of creating thoughtful bipartisan dialogue on U.S.-China relations. Pre-conference events as well as lodging, travel, and conference costs will be paid by the Aspen Institute and Carnegie Endowment, as approved by House and Senate ethics committees.

If you are interested, please respond to this invitation by June 21st, and our team will follow up with further details and instructions. Should you have any questions, please reach out to Lauren Kennedy at lauren.kennedy@aspeninstitute.org, or Douglas Farrar at Douglas.Farrar@ceip.org.

Sincerely,

Dan Flickner

**Secretary Dan Glickman
Executive Director
Aspen Institute Congressional Program**

Bill Burns

**Ambassador William J. Burns
President
Carnegie Endowment for International Peace**

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U.S.-CHINA RELATIONS: A NEW ERA

THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM

in collaboration with

THE CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE

November 2-8, 2019 Beijing, China

Conference For Senior Congressional Staff

SATURDAY, November 2:

1:50 pm: Participants depart Washington, D.C. on United Airlines flight 807

SUNDAY, November 3:

3:40 pm: Participants arrive in Beijing

6:30–8:30 pm: *Working Dinner*

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and congressional staff members are rotated daily. Scholars Robert Daly, Director of the Kissinger Institute at the Wilson Center, and Paul Haenle, Director of the Carnegie/Tsinghua Center, will add to the discussions which will serve as an orientation session.

MONDAY, November 4:

8-9:30 am: *Working Breakfast*

SETTING THE STAGE

China's rise to a major economic and strategic player on the global stage over the last three decades has been a phenomenal story that now positions it as a major competitor to the U.S. The future direction of this dynamic relationship is the focus of our programming this week. Directors Haenle and Daly will each give their views and perspectives of the week ahead.

Robert Daly, Director, Kissinger Institute, Wilson Center

Paul Haenle, Director, Carnegie/Tsinghua Center

9:30-11:30 am: *Educational Commentary in transit to the Great Wall of China*

WHY CHINA BUILT THE GREAT WALL, AND WHAT IT MEANS ABOUT CHINA'S FOREIGN POLICY AND SENSE OF NATIONHOOD TODAY

Construction of the Great Wall of China was started as far back as the 7th century B.C., but the best-preserved sections were built during the Ming Dynasty, (1368-1644). It is an engineering wonder, wandering up hills and along ridges, intended to divide China from foreign invaders. Directors Haenle and Daly will elaborate on the rationale for the wall, its effectiveness, and what it symbolizes about the concept of nationhood and Chinese identity that connects to contemporary China.

Robert Daly, Director, Kissinger Institute, Wilson Center

Paul Haenle, Director, Carnegie/Tsinghua Center

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3-4:30 pm

Dialogue with Security, Economic, Energy, and Political Expert Staff

Ambassador Terry Branstad, joined by the following Embassy staff:

Seth Bailey, Political Section

Bill Block, Treasury Department

Cynthia Griffin, Foreign Commercial Service

Oliver Gaines, Consular Affairs

Captain Thomas Henderschedt, Defense Attache'

Jeffrey Loree, Public Affairs

Matt Murray, Economics Section

Bobby Richey, Foreign Agriculture Service

Daniel Wald, EST-H

Adam Wong, Department of Energy

5:15-6:45 pm: *Pre-dinner dialogue*

OFF-THE-RECORD

WITH AMERICAN JOURNALISTS BASED IN BEIJING

Western journalists resident in China have gained unique perspectives on security, economic and environmental challenges in the U.S.-China relationship.

This off-the-record session is designed to facilitate a back-and-forth dialogue to provide an opportunity for senior congressional staff to benefit from the perspectives of journalists who understand many of the complex nuances of these policy challenges.

6:45-8:15 pm: *Working dinner*

Discussion will continue over dinner and focus on topics covered in discussions earlier today, enhanced by the participation of scholars Robert Daly, Paul Haenle and the participating journalists. Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and congressional staff members are rotated daily.

WEDNESDAY, November 6:

7-8:00 am: Breakfast is available

8-9:00 am: *Working Breakfast*

CHINA'S VIEWS OF SECURITY CONCERNS

Jun Liu, Counselor, Department of North American and Oceanic Affairs

China's Ministry of Foreign Affairs

9-9:30 am: Transit to Institute of World Economics and Politics, Chinese Academy of Social Sciences

9:30-11:30 am: *Roundtable Discussion*

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U.S.-CHINA RELATIONS: A NEW ERA

THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM

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Robert Daly, Director, Kissinger Institute, Wilson Center

Paul Haenle, Director, Carnegie/Tsinghua Center

11:30-1:30 pm: *Site Visit to The Great Wall at Jiankou*

This visit to the Great Wall will illustrate what a mammoth engineering feat was undertaken to construct it along high mountain ridges for the goal of holding back invaders. The Jiankou section, 50 miles north of Beijing, believed to have been built in the Ming Dynasty, has not been restored and shows how the Wall has deteriorated over time. This visit has relevance to contemporary discussions regarding isolationism and security in foreign policy.

1:30-2:30 pm: *Working Lunch*

Scholars Robert Daly and Paul Haenle will focus on the impact of the Great Wall and policy implications and other issues to be addressed during the conference. Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas.

2:30-4:30 pm: Transit back to hotel

5:30-7:00 pm: *Pre-Dinner Dialogue*

INSIGHTS FROM YOUNG BILINGUAL CHINESE

This session is designed to give senior congressional staff an opportunity to get to know several young, dynamic Chinese and to hear their perspectives about what it takes to operate successfully in China's political and economic structure. These individuals have achieved considerable success in the private sector and are at a point in their careers where they are looking toward the broader role they might take on in their communities or globally.

Anna Brachtendorf, Program Manager, Friedrich-Ebert Foundation

Kevin Kerrigan, Strategic Risk and Media Affairs Consultant

Thena Lee, Senior Manager for Marketing Operations, McLarty Associates

Jun Mai, Beijing Correspondent, South China Morning Post

7-9 pm: *Working Dinner*

Scholars Paul Haenle and Robert Daly to continue the discussions, as well as the four Chinese individuals from the pre-dinner dialogue. Congressional staff are seated with scholars and the seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and congressional staff members are rotated daily.

TUESDAY, November 5:

7-8:00 am: Breakfast is available

8:15-9:15 am: Transit to School of International Studies, Peking University

9:30-11:30 am: *Roundtable Discussion*

**THE U.S.-CHINA BILATERAL RELATIONSHIP:
THE SECURITY DIMENSIONS**

1:30-2:45 pm Transit to U.S. Embassy & Security Clearance

3-4:30 pm *Briefing with U.S. Embassy Staff*
Dialogue with Security, Economic, Energy, and Political Expert Staff
Ambassador Terry Branstad, joined by the following Embassy staff:
Seth Bailey, Political Section
Bill Block, Treasury Department
Cynthia Griffin, Foreign Commercial Service
Oliver Gaines, Consular Affairs
Captain Thomas Henderschedt, Defense Attache'
Jeffrey Loree, Public Affairs
Matt Murray, Economics Section
Bobby Richey, Foreign Agriculture Service
Daniel Wald, EST-H
Adam Wong, Department of Energy

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Jun Liu, Counselor, Department of North American and Oceanic Affairs
China's Ministry of Foreign Affairs

9-9:30 am: Transit to Institute of World Economics and Politics, Chinese Academy of Social Sciences

9:30-11:30 am: *Roundtable Discussion*

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7:15-9 pm *Working Dinner*

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and congressional staff members are rotated daily. Discussion will focus on topics covered in discussions earlier in the day, enhanced by the participation of scholars Ding Yifan, Xiong Zhiyong, Xie Tao, and Zhou Qi.

THURSDAY, November 7:

8-9:00 am: Breakfast is available

9-10:00 am: Transit to Carnegie/Tsinghua Center

10-Noon: *Panel Discussion*

THE U.S., CHINA, NORTH KOREA TRIANGLE

Security concerns of the U.S. and its allies about North Korea and its nuclear threat have a direct connection to China, which is its major supporter and lifeline. Experts from the Carnegie/Tsinghua Center will provide analysis of the historical relationship between China and North Korea and the relevance of this relationship to U.S. concerns about its nuclear threat. Sanctions and bilateral versus multilateral initiatives will be analyzed.

Paul Haenle, Director, Carnegie/Tsinghua Center

Cheng Xiaone, Associate Professor, School of International Studies, Renmin University

Li Bin, Senior Fellow, Nuclear Policy Program, Carnegie/Tsinghua Center

Tong Zhao, Fellow, Nuclear Policy Program, Carnegie/Tsinghua Center

Yang Wenjing, Chief of U.S. Foreign Policy, Institute of American Studies,
China Institute of Contemporary International Relations

12:30-2 pm: *Luncheon Remarks*

ARTIFICIAL INTELLIGENCE AND ITS ROLE IN U.S.-CHINA RELATIONS

Artificial Intelligence is a new dimension in the U.S.-China relationship. Some see it as a realm of global competition. Others see it as a natural development of a new industry that will have wide-ranging implications for both countries. China is far outspending the U.S. in AI research, and some think it will dominate the field. AI can be used at a mundane level to replace tedious tasks and at a more sophisticated level to quickly manage complex production systems, enhance military applications, and empower governments to exert more control over the governed. AI requires vast amounts of data to operate predictably and efficiently, and how that data is obtained triggers privacy and security concerns. The scale and scope of projects underway is quite impressive. For example, sixty miles south of Beijing, China is planning to spend billions of dollars building a new city called Xiong'an, specifically designed for autonomous transportation, which is highly dependent on AI. One noted Chinese AI entrepreneur predicts that "within fifteen years, artificial intelligence will be able to replace around 40 to 50 percent of jobs in the United States." Our speakers will elaborate on the

advancements in this new frontier and discuss the implications for future U.S.-China relations.

- Is China still an imitative nation, or has it developed the ability to innovate at American scale?
- Is the American regulatory environment necessary to guarantee consumer protections regarding AI, or a drag on the growth of this emerging industry that would put the U.S. at a competitive disadvantage versus China? Which nation is likely to prevail in AI advance, and what are the global implications of dominance in the field?

Brian Tse, Senior Advisor, Center for the Governance of AI, University of Oxford
Dan Wang, Technology Analyst, Gavekal Group, Beijing

2-4:30 pm: *Forbidden City Visit*

The Forbidden City was the central repository of rule by the Ming and Qing dynasties for centuries until upheavals of the 20th Century. It is now a showpiece to instill pride in China's culture and tradition. This visit will help provide an understanding of China's historical continuity as a power in Asia. This visit will also provide insight into China's self-image as one of a great power on a historical trajectory of grandeur – which is reflected in its domestic policies and international relations.

5:45-7 pm: *Pre-Dinner Discussion*

**CHINA'S NEW MIDDLE CLASS AND CIVIL SOCIETY:
THE IMPACT ON THE SOCIAL CONTRACT AND GOVERNANCE**

In the last 40 years, with China's economic opening to the world, China's middle class has grown from a sliver to an estimated 420 million people, roughly one-third of its population. This lifting of hundreds of millions out of poverty has increased standards of living and life expectancy, created a consumer class and added new dimensions of political and demographic factors to Chinese society. During this same time period, China's urban population has soared from 19 percent in 1980 to 58 percent in 2017. A majority of the middle class has internet access, which has contributed to China's massive e-commerce market. Increased consumption has added to environmental stresses and more sedentary lifestyles have led to higher health care costs. We will hear perspectives from two Beijing residents on the rise of China's middle class and its impact on modern Chinese society, with broader implications for the U.S.-China relationship.

Elizabeth Knup, China Director, The Ford Foundation

7-9 pm: *Working Dinner*

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and congressional staff members are rotated daily. Discussion will focus on topics covered in discussions earlier in the day, enhanced by the participation of scholars Paul Haenle, Dan Wang, Brian Jackson, Brian Tse, and Elizabeth Knup.

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8-9:30 am:

PERSPECTIVES FROM THE AMERICAN BUSINESS COMMUNITY

Hundreds of American businesses operate in China. We will hear directly from American business representatives doing business in China who will give their perspectives on U.S. policy that impacts their operations.

Ken Jarrett, Senior Advisor, Albright Stonebridge Group, Shanghai
Travis Tanner, Vice President, Greenpoint Group

9:30-10 am: Transit to Yale University Center

10-11:30 am: *Panel Discussion*

PERSPECTIVES FROM U.S. UNIVERSITIES OPERATING IN CHINA

Many top U.S. universities maintain a presence in China, and many American universities have Chinese students, most who pay full tuition. It is estimated that 360,000 Chinese students study in the U.S. (paying tuition estimated to be \$12 billion annually) and 12,000 Americans study in China. Representatives of U.S. academic institutions will elaborate on their operations in China, what challenges they face, and how they see their role as a factor, if at all, in the greater U.S.-China relationship.

- Have student visas become an issue?
Are exchange programs still strong?
- How dependent are U.S. universities on foreign students' tuition?
Are there particular challenges for U.S. universities to operate in China?
- Are the university operations subject to or immune from trends in the relationship?

Josh Cheng, Executive Director, Stanford Center at Peking University
Jeremy Daum, Director, Paul Tsai China Law Center, Yale University Law School
Jeffrey Lehman, Vice Chancellor, New York University, Shanghai
Adam Webb, American Co-Director, Hopkins Nanjing Center, Nanjing

11:30-Noon: Transit to Chinese Peoples Association for Friendship with Foreign Countries

Noon-1:30 pm *Working Lunch*

CONCLUDING OBSERVATIONS

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and congressional staff members are rotated daily. Discussion will focus on reflections from the week of critical analysis and engagement with implications for U.S. policy.

Li Xiaolin, President, Chinese People's Association for Friendship with Foreign Countries

Zhang Yuyuan, Director, Institute of World Economics, Chinese Academy of Social Sciences

6:50 pm: Arrive in Washington, D.C.

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